**LPL Financial AT-A-GLANCE**

LPL Supports Your Financial Advisor Who Serves You

**LPL Financial Founded In**

1989

(through the merger of Linsco and Private Ledger, founded in 1968 and 1973, respectively)

**LPL Financial Locations Across America**

San Diego, CA

Fort Mill, SC

Boston, MA

**Employees**

3,254

**Independent Advisors**

14,185

**Assets**

$502B*

**Broker/Dealer**

Largest Independent Broker-Dealer (based on total revenue) and the 6th Largest (by number of advisors)

**LPL Research**

- ~50 Number of Employees
- 10 Average Years of Industry Experience
- ~40 Number of Advanced Degrees and Certifications
- 300+ Number of Portfolios Managed*
- 950+ Number of Approved or Recommended Managers*

**No. 1 in Net Customer Loyalty**

LPL Financial and its advisors have been ranked **No. 1 in net customer loyalty** among 24 leading financial distributor firms in a 2015 Cogent Reports™ study, led by Investor Brandscape® and released by Market Strategies International. The study explores 10 categories of investor loyalty, and LPL scored among the top five in seven of the 10 areas, including three No. 1 rankings, in:

- Quality of investment advice,
- Financial stability,
- Satisfaction of fees and expenses.

As the nation’s largest independent broker/dealer (1), an RIA custodian, and the leading provider of third-party brokerage services to banks and credit unions (2), LPL backs the wisdom and experience of independent advisors with a catalogue of services and resources, including:

- Objective market research
- Compliance oversight
- Innovative technology
- Ongoing practice consulting and training

* Asset and portfolio data through 03/31/16 for assets for which LPL Research has discretion.

LPL Financial. A registered investment advisor. Member FINRA/SIPC.

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